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Attorney's Docket No.: 17315-002001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : St. Goar *et al.*

Art Unit : 3732

Serial No. : 10/635,776

Examiner : Cary O'Connor

Filed : August 5, 2003

Title : METHODS AND APPARATUS FOR CARDIAC VALVE REPAIR

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of a filing receipt received from the PTO in the above-captioned application for which issuance of a corrected filing receipt is respectfully requested.

Under **APPLICANTS**, please insert the following corrected information:

--FREDERICK G. ST. GOAR, MENLO PARK, CA--
--JAMES I-LIN FANN, PORTOLA VALLEY, CA--
--MARK E. DEEM, MOUNTAIN VIEW, CA--
--HANSON S. GIFFORD III, WOODSIDE, CA--

REMARKS

The instant application is a continuation of U.S. Application Serial No. 09/544,930. The instant application was filed by fewer than all of the inventors of the original parent application. A Statement Under CFR 1.63(d)(2) was filed with the instant application requesting the deletion of inventors Dieck, Martin, Wen-Chin Fan, Goldfarb, Dell, and Powell as inventors of the instant application. A copy of the Statement and the Utility Application Transmittal (including a ~~bar-~~ code stamped copy of the return receipt postcard) as filed on August 5, 2003, are enclosed.

Applicants respectfully request that this error be corrected and Applicants be provided a new Official Filing Receipt.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

May 22, 2006
Date of Deposit

Signature

JONATHAN ONG
Typed Name of Person Signing
Certificate

Applicant : St. Goar et al.

Serial No. : 10/635,776

Filed : August 5, 2003

Page : 2 of 2

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REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

- The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050.

Respectfully submitted,



Fred C. Hernandez
Reg. No. 41,832

Attorney Docket No. 17315-002001

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/635,776	08/05/2003	3732	771	17315-002001	84	50	6

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FISH & RICHARDSON, PC
12390 EL CAMINO REAL
SAN DIEGO, CA 92130-2081

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OCT 18 2004

FISH & RICHARDSON, PC.
SAN DIEGO

CONFIRMATION NO. 17.04

CORRECTED FILING RECEIPT



OC000000014093081

Date Mailed: 10/15/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frederick G. St. Goar, Menlo Park, CA;
James I-Lin Fann, Portola Valley, CA;
Mark E. Deem, Mountain View, CA;
Hanson S. Gifford III, Woodside, CA;
Martin S. Dieck, Cupertino, CA;
Brian B. Martin, Boulder Creek, CA;
Sylvia Wen-Chin Fan, San Francisco, CA;
Erik A. Goldfarb, San Francisco, CA;
Kent D. Dell, Redwood City, CA;
Fernlyn T. Powell, San Francisco, CA;

Assignment For Published Patent Application

EVALVE, INC., Redwood City, CA

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 09/544,930 04/07/2000 PAT 6,629,534
which claims benefit of 60/128,690 04/09/1999

Foreign Applications

If Required, Foreign Filing License Granted: 11/04/2003

The country code and number of your priority application, to be used for filing abroad under the Paris

Reviewed By Practice Systems
Initials: <input checked="" type="checkbox"/>
Reviewed By Secretary
Initials: <input checked="" type="checkbox"/> C21

Convention, is **US10/635,776**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Methods and apparatus for cardiac valve repair

Preliminary Class

623

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
CONTINUATION UTILITY PATENT APPLICATION
FILING ACKNOWLEDGMENT

Mailing Date:	August 5, 2003	Serial No.:	Unassigned	17497 U.S. PTO 10/635776
File No.:	020489-000140US	Attorney:	LMT/jke	 08/05/03
Applicant:	ST. GOAR, FREDERICK G.			
Title:	METHODS AND APPARATUS FOR CARDIAC VALVE REPAIR			

Please stamp the date of receipt of the enclosed documents and return this card to addressee

- 1 pg Utility Patent Application Transmittal
- 2 pgs Fee Transmittal (duplicate)
- 1 pg Statement under CFR §1.63(d)(2)
- 3 pgs ADS
- 4 pgs Declaration from Prior Application
- 71 pgs Specification w/title page
- 84 sheets FORMAL drawings
- 1 Return Postcard

167 pages

EV348063586US



MAY 25 2008

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE	
Attorney Docket No.	020489-000140US
First Inventor	ST. GOAR, FREDERICK G.
Title	METHODS AND APPARATUS FOR CARDIAC VALVE REPAIR
Express Mail Label No.	EV 348063586US

APPLICATION ELEMENTS		ADDRESS TO	
See MPEP chapter 600 concerning design patent application contents.			
1. <input checked="" type="checkbox"/>	Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)	
2. <input checked="" type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
3. <input checked="" type="checkbox"/>	Specification w/title page [Total Pages 71] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed Sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure 	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper number of pages c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/>	Drawing(s) (35 U.S.C.113) [Total Sheets 84]	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
5. Oath or Declaration	[Total Pages 4]	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>	
a. <input type="checkbox"/>	Newly executed (original or copy)	11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)	
b. <input checked="" type="checkbox"/>	Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i>	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
i. <input checked="" type="checkbox"/>	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	13. <input type="checkbox"/> Preliminary Amendment	
6. <input checked="" type="checkbox"/>	Application Data Sheet. See 37 CFR 1.76	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>			
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent			
17. <input checked="" type="checkbox"/> Other: Statement Under CFR §1.63(d)(2)			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No: 09/544,930

Art Unit: 3732

Prior application information: Examiner O'CONNOR, Cary E. **Art Unit:** 3732
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19 CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	20350	OR <input type="checkbox"/> Correspondence address below			
Name					
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City		State		Zip Code	
Country		Telephone		Fax	

Name (Print/Type)	Lynn M. Thompson	Registration No. (Attorney/Agent)	47,991
Signature		Date	August 5, 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ST. GOAR, Frederick G. et al.

Application No.:

Filed:

For: METHODS AND APPARATUS
FOR CARDIAC VALVE REPAIR

Examiner: Unassigned

Technology Center/Art Unit: 3732

STATEMENT UNDER CFR §1.63(d)(2)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This statement requests the deletion of Martin S. Dieck, Brian B. Martin, Sylvia Wen-Chin Fan, Eric A. Goldfarb, Kent D. Dell, and Ferolyn T. Powell as inventors for this continuation application (Attorney Docket Number 020489-000140US) which is a continuation of U.S. Application No. 09/544,930 (Attorney Docket Number 020489-000110US).

Respectfully submitted,


Lynn M. Thompson
Reg. No. 47,991

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